

**SENTENCE DATA SHEET****CRIMINAL NO.:** H-09-342-2**DEFENDANT:** Laura Pendergest-Holt**DEFENDANT'S  
IMMIGRATION  
STATUS:** United States Citizen**GUILTY PLEA:** Count Twenty of the Indictment**SUBSTANCE  
OF PLEA****AGREEMENT:**

Pursuant to Rule 11(c)(1)(A) & (c)(1)(C), defendant will plead guilty to Count Twenty of the Indictment, agrees to serve a sentence of 36 months imprisonment followed by a three-year term of supervised release and waives the right to file an appeal or motion for post-conviction relief.

If defendant persists in her plea through sentencing, the United States agrees to a sentence of 36 months imprisonment followed by three years supervised release and will move to dismiss the remaining counts of the Indictment at sentencing.

**COUNT TWENTY:** 18 U.S.C. §1505 (Obstructing an Agency Proceeding)**ELEMENTS**

- COUNT TWENTY:**
1. There was a proceeding pending before a department or agency of the United States, namely the United States Securities and Exchange Commission ("SEC");
  2. The defendant knew of the SEC proceeding; and
  3. That the defendant intentionally endeavored corruptly to influence, obstruct or impede the SEC proceeding.

**PENALTY ON****COUNT TWENTY:**

The statutory maximum penalty for a violation of 18 U.S.C. §1505 is imprisonment for a term not to exceed five (5) years, a fine of up to \$250,000, up to three (3) years supervised release and a \$100 special assessment.

**ALTERNATIVE****FINE BASED ON****GAIN OR LOSS:**

Inapplicable

**SENTENCING****GUIDELINES:**

Advisory

**ATTACHMENT:**

Plea Agreement